

# OQ

## For AS 110 autosampler

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## Symbols

Explanations of symbols & labels on the device or in user manual:

The following symbols are used in this guide:



The danger sign warns about a hazard. It calls attention to a procedure or practice which, if not adhered to, could result in injury or loss of life.

Do not proceed beyond a danger sign until the indicated conditions are fully understood and met.



The warning sign denotes a hazard. It calls attention to a procedure or practice which, if not adhered to, could result in severe injury or damage or destruction of parts or all of the equipment. Do not proceed beyond a warning sign until the indicated conditions are fully understood and met.



The caution sign denotes a hazard. It calls attention to a procedure or practice which, if not adhered to, could result in damage or destruction of parts or all of the equipment. Do not proceed beyond a cautions sign until the indicated conditions are fully understood and met.



The biohazard sign draws attention to the fact that use of biological materials, viral samples and needles may carry a significant health risk.



The attention sign signals relevant information. Read this information, as it might be helpful.



The note sign signals additional information. It provides advice or a suggestion that may support you in using the equipment.

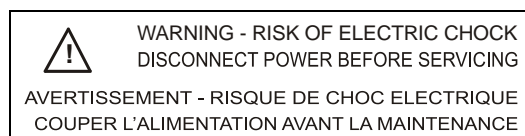
## Safety practices

The OQ procedure may only be performed by a qualified service engineer trained by the manufacturer. The following safety practices / protective measures are intended to ensure safe operation of the instrument.

### Electrical hazards



Never open a device! Removal of protective panels on the instrument can result in exposure to potentially dangerous voltages which may lead to **severe injury or loss of life!** The instrument may only be opened by authorized service engineers of the manufacturer or a company authorized by the manufacturer.



### Solvents



Organic solvents are highly flammable. Since capillaries can detach from their screw fittings and allow solvent to escape, it is prohibited to have any open flames near the analytical system!

If a leakage occurs, turn off the power of the instrument and remedy the situation immediately. Regularly check for leaks and clogged LC tubing and connections. Do not close or block drains or outlets. Do not allow flammable and/or toxic solvents to accumulate. Follow a regulated, approved waste disposal program. Never dispose of such products through the municipal sewage system.



**Toxicity: Organic solvents are toxic above a certain concentration. Ensure that work areas are always well-ventilated! Wear protective gloves, safety glasses and other relevant protective clothing when working on the device!**

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## CHAPTER 1

## Introduction

This document describes the Operational Qualification (OQ) process for the AS 110 auto sampler as advised by the manufacturer. It is the result from our interpretation of many regulations and laboratory practises. In addition, feedback from users and representatives helped us to finalize this procedure.

A complete OQ for a AS 110 auto sampler consists of :

1. Communication test
2. Hardware verification
3. Alignment check & adjustment
4. Temperature test (for auto samplers with cooling option).
5. Repeatability & linearity test\*



**\*) The repeatability & linearity test is based on the HPLC performance test which is part of the PQ for HPLC-ECD systems, p/n 180.0028. So to execute test 5 all part (column, MOPEG test sample, concentrated buffer) of the PQ for HPLC/ECD kit p/n 250.3040 are required.**

This document guides you, a trained and qualified person, through the operational qualification of the AS 110 auto sampler. The owner's management approves the successful completion of this qualification by signing off the OQ certification at the end of this document.

All qualification checks in this document must be approved, or must be marked "n/a" if not applicable. Any deviation observed must be documented in the 'non-conformance' record. All relevant documents regarding this operational qualification must be filed together in one location.

As regulations and customer requirements may change, manufacturer reserves the right to introduce changes without prior notice. For details on functionality, operation and theory references are made to the instrument user manual.





C H A P T E R 2

# Identification

## Engineer

The undersigned engineer certifies to be trained and qualified to perform an OQ on an AS 110 auto sampler.

Company: .....

Performer: .....  
Name Initials

Title: .....

Signature: .....

## Reviewer/customer

The undersigned reviewer/customer accepts that the above-mentioned engineer is trained and qualified to perform an OQ on an AS 110 auto sampler.

Company: .....

Reviewer/Customer: .....  
Name Initials

Title: .....

Signature: .....  
(Owner-designated authorized person)

## Instruments

- AS 110                      p/n:                                      s/n:
- AS 110 micro                      p/n:                                      s/n:
- Cooling option                                            10-port valve\*

AS software version                      .....

ASM version                      .....

Manufacturer                      Antec

Supplier                      .....

Date of delivery                      .....

Warranty until                      .....

\* In case a 10-port valve is installed with a dual loop configuration in the auto sampler flow path please reconfigure the flow path to a single loop configuration to be able to perform the reproducibility test. See document p/n 181.7032 (10 port-to 6 port valve changer kit).

Verified by (customer): .....

Deviations (Y/N): .....

Comments:

## Test equipment

Below an overview of the required tools/equipment are listed:

- Antec Electrochemical detector (Intro/DECADE/DECADE II) with Glassy Carbon flow cell
- LC pump
- Data acquisition software
- Temperature sensor with an accuracy of  $\pm 0.5$  °C
- Alias Service Manager software
- PQ for HPLC/ECD kit (p/n 250.3040)\*
- AS 110 needle stripper tool
- Small flash light

\*) To perform the HPLC tests of the PQ, a kit can be ordered that contains the test substance, the column, concentrated mobile phase and tubing connections for the part between the injector and flow cell (see table below). After use, the test substance and concentrated mobile phase can be reordered with the info from the table below, but the tubing and column can be reused when taken proper care of.

*Contents of 'PQ for HPLC/ECD kit' (p/n 250.3040)*

Description	Part no	Qty
HPLC column for PQ	250.1050	1
MOPEG 2 $\mu$ mole/L, 2 mL for PQ	250.1062	3
Concentrated buffer for PQ	250.1064	1
AS100/AS110 outlet assembly, 130 $\mu$ m	180.0230	1
DECADE II inlet assembly, 130 $\mu$ m	180.0232	1



*Fig. 1. Part of PQ for HPLC/ECD kit.*

The following documents should be available on site (can be downloaded from the Antec web site) for the OQ:

- 180.0028 PQ for HPLC-ECD systems
- 180.0028C PQ appendices
- 193.0020 AS 110 service manual

## Instruments & Test materials

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Pump	Type	.....
	Serial number	.....
Acquisition software	Type	.....
	Serial number	.....
	Revision	.....
Detector	Type	.....
	Serial number	.....
	Calibration date	.....
Flow cell	Type	.....
	WE/diameter	.....
	REF electrode	.....
	Serial number	.....
Temperature sensor	Type	.....
	Serial number	.....
	Calibration date	.....
Column for PQ	Type	.....
	Serial number	.....
2 µM MOPEG solution	Lot number	.....
	Expiration date	.....
Mobile phase for PQ	Lot number	.....
	Expiration date	.....

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Verified by (customer): .....

Deviations (Y/N): .....

Comments:

## CHAPTER 3

## Communication test

Execute the following steps to check the communication and verify the instrument configuration:

- Connect the serial communication cable.
- Start ASM software.
- Select Communication and select the correct com port of the PC
- Select Alias™ Direct control.
- Initialize the instrument and check if the Status of the instrument will be displayed 'Idle'.
- Check Software version, System boot ID and Serial number stalled options are matching with the selectable options in the direct control window.

Status: Idle			
Software version: 1.00		Door: Closed	
System boot ID: 1.00		Serial number: 50030	

- Write down the AS software version on page 6 (instruments).

Successful performance: instruments display all requested versions and initialize without any error message. In case of failure see the AS 110 service manual for directions to remedy the problem.

Result:  Passed  Failed

Verified by (customer): .....

Deviations (Y/N): .....

Comments:

## Hardware verification

- Open ASM direct control and check the following hardware modules of the AS 110 Auto sampler
- Select in the section Tray, the button Front and, check if the Tray moves to the front position.
- Select in the section Valve, the button Load and check if the valve is switching from the Inject position to the Load position.
- Return the valve to the Inject position and check if the flow path is switched as the diagram is displaying.
- Install the wash bottle, preferable with 80% H<sub>2</sub>O and 20%IPA
- Select in the section Initial wash, the Start button, check if the complete flow path will be filled with wash solvent and no air bubbles are remained in the flow path.
- Check the AS flow path for visual leakage.

Successful performance: no positioning errors and no air bubbles or leakage visible in the flow path. In case of failure see the AS 110 service manual for directions to remedy the problem.

Result:  Passed       Failed

Verified by (customer): .....

Deviations (Y/N): .....

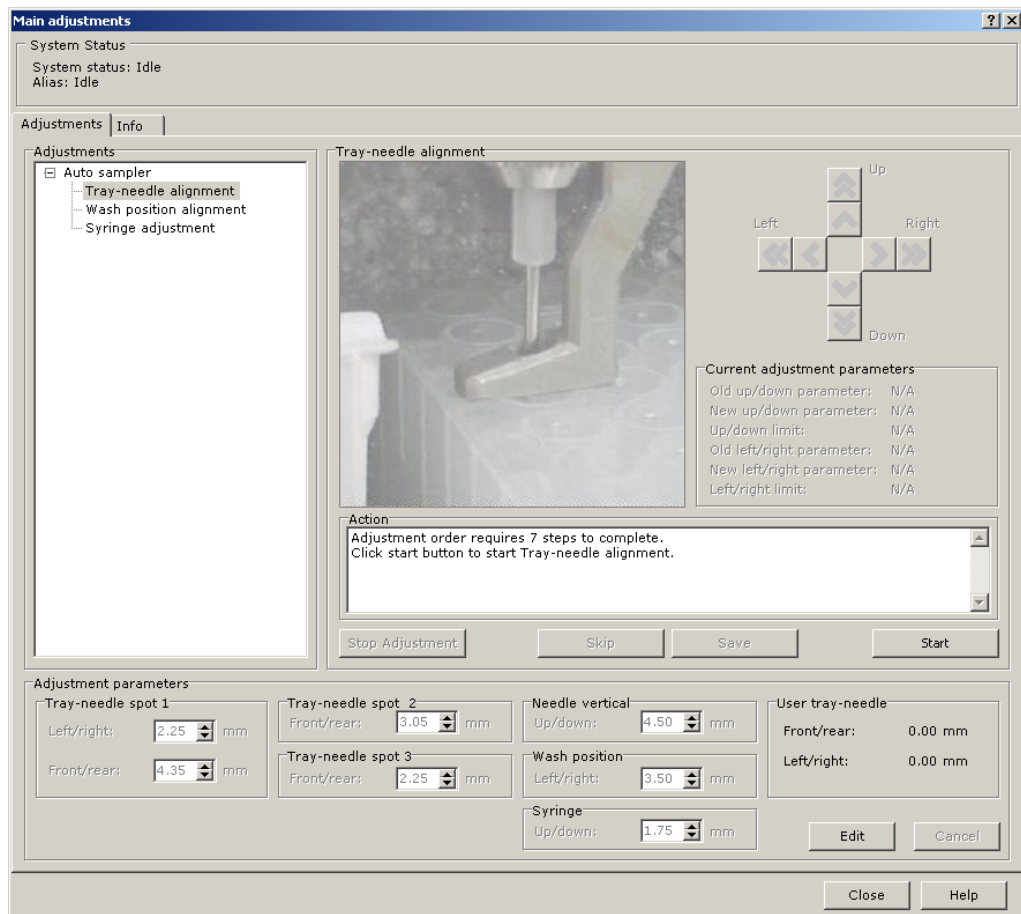
Comments:

## Alignment check & adjustment

In this procedure the following adjustments are checked using the ALIAS service manager and can be re-adjusted if required :

- Needle-tray adjustment
- Wash position adjustment
- Syringe home position

For detailed instructions see chapter 7 of document 191.0020 AS 110 service manual.



Execute the following steps:

- Open ASM service menu and go to the service menu.
- Select the option 'main adjustments.
- Start the Tray-needle alignment wizard and follow the instructions on the PC screen. Use a flash light to locate and illuminate the alignment notches in the black tray table as they are very small.
- Continue with the Wash position alignment and follow the instructions on the PC screen.
- Continue with the syringe home position alignment and follow the instructions on the PC screen.

Successful performance: if all alignment procedures are completed successfully. In case of failure see the AS 110 service manual for directions to remedy the problem.

Result:  Passed       Failed

Verified by (customer): .....

Deviations (Y/N): .....

Comments:



## Temperature test

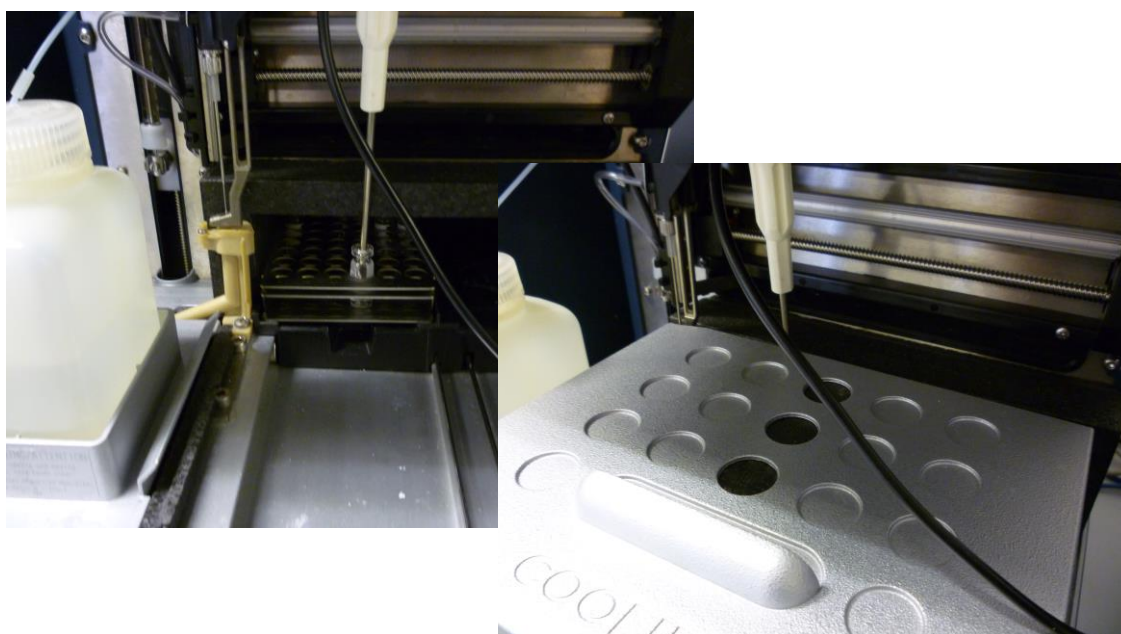
In the case the auto sampler is equipped with a cooling option the temperature test should be executed to check if the cooling system is operating in accordance with the manufacturer's specifications.

### Preparation

The environmental conditions should preferably meet a temperature of maximum 24 °C and 80% humidity. The thermometer should have an accuracy of +/- 0.5 °C.

### Procedure

- Place the tip of the probe of the thermometer in a vial filled with distilled water.
- Place the vial in the 48-vial adapter in one of the positions in the front.
- Close the sample compartment with the isolation cover.



- Set the temperature in ASM direct control to a set point of 4°C and switch the cooling on. If the environmental temperature is 24°C or higher, execute the T test at a SET temperature that is 20°C below the room temperature (room temperature 26°C -> set point 6°C etc.).
- Let the cooler stabilize a while (f.e. 15 min) and measure the temperature, repeat if necessary. Stabilization will take a while but should not take longer than 1 hour.
- Record the actual temperature of the temperature probe.

The specification of the temperature accuracy of the temperature within the sample compartment is: set point  $\pm 2^\circ\text{C}$ . So in case of a successful temperature test the actual temperature after stabilization should be within  $4 \pm 2^\circ\text{C}$ .

Result:  Passed       Failed

Verified by (customer): .....

Deviations (Y/N): .....

Comments:

## Repeatability & Linearity test



To execute this test the following documentation is required:

- (1) p/n 180.0028 PQ for HPLC-ECD systems
- (2) p/n 180.0028C PQ appendices

Furthermore, the parts (column, MOPEG test sample, concentrated buffer and tubing) of the PQ for HPLC-ECD kit p/n 250.3040 are required to perform the test.

Execute the test procedure as described in chapter 4 'HPLC performance test' in document p/n 180.0028 PQ for HPLC-ECD systems. Write the relevant test results down in the table and indicate passed or failed in the last column.

### Results

	Specified*	Measured	Result*
<b><u>HPLC TESTS</u></b>			
<b>Column test</b>			
Plate number	> 1500	.....	.....
Retention time	< 5 min	..... min	.....
<b>Signal</b>			
Height	> ..... nA	..... nA	.....
<b>Repeatability</b>			
%RSD t	< 0.5 %	..... %	.....
%RSD area	< 3.0 %	..... %	.....
<b>Linearity</b>			
Correlation coefficient r	> 0.997	.....	.....

\*Specifications for some of the HPLC tests are hardware dependent; check the applicable specs on page 18 of document p/n 180.0028 PQ for HPLC-ECD systems.

Verified by (customer): .....

Deviations (Y/N): .....

Comments:

## What to do if the OQ failed

Steps to take when the AS 110 auto sampler fails the OQ test:

1. Finish the OQ as far as possible. If one section is failed, it may very well be that also other tests will fail that will help in finding the problem. In case tests are failed fill in a non-conformance report for every failed test.
2. Find the corresponding sections in the service documentation and see what test, recommendations and fixes are given to solve the problem.
3. If not successful in fixing the problem contact Antec for service or further instructions.

C H A P T E R 4

## OQ certification

The Operational Qualification has been carried out in accordance to the OQ procedure and has been carried out to the satisfaction of both parties. All tests as described in this document have been successfully completed, and all results are within specifications.

### Executing engineer (Antec representative)

Technician name & signature	.....
Company	
Date	

### Customer (authorised to sign)

Name & signature	.....
Company/dept.	
Date	

## Comments

C H A P T E R 5

## Non-conformance record

Any case of non-conformance found during the OQ procedure should be documented and signed for acceptance or corrective action taken.

*Table 2. Non conformance record.*

Ref.	Non-conformance and action taken	Signature customer	Sign. executing technician
1		.....	.....
2		.....	.....
3		.....	.....
4		.....	.....
5		.....	.....
6		.....	.....



